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Docket No.: 206644US0

OBLON SPIVAK McClelland MAIER NEUSTADT P.C.

ATTORNEYS AT LAW

COMMISSIONER FOR PATENTS ALEXANDRIA, VIRGINIA 22313

RE: Application Serial No.: 09/845,271

Shigeyuki HARITA, et al. Applicants:

Filing Date: May 1, 2001

Allowed Date: March 26, 2004

For: POLYVINYL ALCOHOL POLYMER FILM,

METHOD OF PRODUCING THE SAME AND

POLARIZATION FILM

Group Art Unit: 1713

Examiner: Marie Reddick

SIR:

Attached hereto for filing are the following papers:

Petition Under 37 C.F.R. 1.181; Copy of Date-stamped Filing Receipt, Information Disclosure Statement and PTO Form 1449 filed March 2, 2004

Our check in the amount of \$0.00 is attached covering any required fees. In the event any variance exists between the amount enclosed and the Patent Office charges for filing the above-noted documents, including any fees required under 37 C.F.R 1.136 for any necessary Extension of Time to make the filing of the attached documents timely, please charge or credit the difference to our Deposit Account No. 15-0030. Further, if these papers are not considered timely filed, then a petition is hereby made under 37 C.F.R. 1.136 for the necessary extension of time. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,

MAIER & NEUSTADT, P.C.

Norman F. Oblon

Thomas M. Cunningham

Registration No. 45,394

Customer Number

22850

(703) 413-3000 (phone) (703) 413-2220 (fax)

DOCKET NO: 206644US0

IN THE UNITED STATES PATENT & TRADEMARK OFFICE

IN RE APPLICATION OF

SHIGEYUKI HARITA, ET AL. : EXAMINER: MARIE REDDICK

SERIAL NO: 09/845,271 : ALLOWED DATE: MARCH 26, 2004

FILED: MAY 1, 2001 : GROUP ART UNIT: 1713

FOR: POLYVINYL ALCOHOL POLYMER FILM, METHOD OF PRODUCING THE SAME AND POLARIZATION FILM

PETITION UNDER 37 C.F.R. 1.181

COMMISSIONER FOR PATENTS ALEXANDRIA, VIRGINIA 22313

SIR:

Applicants hereby petition the Commissioner pursuant to 37 C.F.R. 1.181 to invoke supervisory authority to:

- (1) verify that the Information Disclosure Statement filed March 2, 2004 has been received and matched with this application, and
- (2) make the application available to the Examiner for formal acknowledgement of this information disclosure statement.

The Applicants notified Examiner Reddick of the above-mentioned information disclosure on March 23, 2004. The PAIR System indicates the present location of this application is "Electronic" and that the above-mentioned information disclosure statement has now been entered into the image file wrapper (IFW). For the convenience of the Examiner a copy of Form 1449 from the previously-filed Information Disclosure Statement and its date-stamped filing receipt is attached to this petition.

Application No. 09/845,271
Petition Under 37 C.F.R. 1.181

Applicants do not believe that any petition fee is due. However, in the event any variance exists between the required petition fees and this amount, please charge or credit the difference to our Deposit Account No. 15-0030.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND, MAIER & NEUSTADT, P.C.

Norman F. Oblon

Thomas M. Cunningham, Ph.D.

Attorney of Record Registration No. 24,618

Customer Number 22850

Tel: (703) 413-3000 Fax: (703) 413 -2220 (OSMMN 08/03)



OSMM&N File No. 206644US0

Serial No. 09/845,271

Dept.: Chemical

By: NFO/TMC/krs

In the matter of the Application of: Shigeyuki HARITA, et al.

For: POLYVINYL ALCOHOL POLYMER FILM, METHOD OF PRODUCING

THE SAME AND POLARIZATION FILM

Due Date: 3-8-04

The following has been received in the U.S. Patent Office on the date stamped hereon:

■ Check for \$180.00

■ Dep. Acct. Order Form

■ Information Disclosure Statement

■ PTO-1449

■ Cited References 5, and attached Japanese Office Action



Docket No.

206644US0

AND TRADEMARK OFFICE IN THE UNITED STATES P

IN RE APPLICATION OF:

Shigeyuki HARITA, et al.

SERIAL NO:

FILED:

FOR:

09/845,271

May 1, 2001

GAU:

1713

EXAMINER: Marie Reddick

POLYVINYL ALCOHOL POLYMER FILM, METHOD OF PRODUCING THE SAME AND POLARIZATION

FILM

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS ALEXANDRIA, VIRGINIA 22313

Applicant(s) wish to disclose the following information.

REFERENCES

- The applicant(s) wish to make of record the references listed on the attached form PTO-1449 and attached Japanese Office Action (130770, dated 4-22-03). Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

■ Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND, MAIER & NEUSTADT, P.C.

Norman F. Oblon

Registration No. 24,618

Customer Number

Tel. (703) 413-3000 Fax. (703) 413-2220 (OSMMN 05/03)

Thomas M. Cunningham Registration No. 45,394 COPY

SERIAL NO. ATTY DOCKET NO. U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE 09/845,271 Form PTO 1449 (Modified) 206644US0 APPLICANT MAY 1 1 2004 Shigeyuki HARITA, et al. LIST OF REFERENCES CITED BY APPLICANT GROUP FILING DATE 1713 May 1, 2001 U.S. PATENT DOCUMENTS FILING DATE SUB IF APPROPRIATE CLASS CLASS DOCUMENT NAME DATE **EXAMINER** NUMBER INITIAL AA AB AC AD ΑE AF AG AH ΑI AJ ΑK AL AM AN FOREIGN PATENT DOCUMENTS TRANSLATION COUNTRY NO **DOCUMENT** YES DATE NUMBER Japan w/English Abstract 9/27/91 03-219928 ΑO Japan w/English Abstract 9/17/93 05-239229 AP Japan w/English Abstract 9/24/93 05-245857 AQ Japan w/English Abstract 11/16/93 05-301239 AR Japan w/English Abstract 5/11/99 11-123731 AS ΑT ΑU ΑV OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.) AW AXAY Additional References sheet(s) attached Date Considered *Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in Examiner conformance and not considered. Include copy of this form with next communication to applicant.